

# Notice of Fiduciary Duty Breach

Date: [Insert Date]

[Advisor's Name]

[Advisor's Firm]

[Advisor's Address]

[City, State, Zip Code]

Dear [Advisor's Name],

I am writing to formally address concerns regarding a potential breach of fiduciary duty pertaining to my financial account managed by you. As my financial advisor, you are required to act in my best interest and maintain integrity in all transactions.

It has come to my attention that [describe the specific actions or omissions that you believe constitute a breach, e.g., failure to disclose fees, unsuitable investment recommendations, etc.]. This situation is concerning and seems to have compromised the trust that is essential to our advisor-client relationship.

I kindly request a thorough explanation of these matters and a resolution plan to rectify the issues mentioned above. I expect your prompt response to this letter to avoid further escalation.

Thank you for your attention to this serious matter. I look forward to your reply.

Sincerely,

[Your Name]

[Your Address]

[City, State, Zip Code]

[Your Email]

[Your Phone Number]