

Securities Compliance Certificate

Date: [Insert Date]

To: [Recipient Name]

[Recipient Title]

[Company Name]

[Company Address]

Subject: Securities Compliance Certificate for Investment Funds

Dear [Recipient Name],

We, [Your Company Name], hereby certify that, as of the date of this letter, our investment funds have been managed in compliance with all applicable federal and state securities laws and regulations.

Our compliance procedures include:

- Regular audits of investment activities
- Thorough due diligence on all investments
- Ongoing training for compliance personnel

This certificate is provided as a representation of our commitment to transparency and adherence to regulatory standards. If you have any questions or require further information, please do not hesitate to contact us.

Sincerely,

[Your Name]

[Your Title]

[Your Company Name]

[Your Contact Information]