

Investment Fund Compliance Review

Date: [Insert Date]

[Recipient Name]

[Recipient Title]

[Company Name]

[Company Address]

Dear [Recipient Name],

We are writing to formally conduct a compliance review of [Investment Fund Name]. This review is essential to ensure adherence to relevant regulatory requirements and internal policies.

Our review process will involve:

- Assessing adherence to investment strategies.
- Evaluating risk management practices.
- Examining financial reports and disclosures.
- Verifying compliance with applicable laws and regulations.

Please provide the necessary documents and information by [Submission Deadline]. This may include:

- Recent financial statements.
- Investment policy statements.
- Internal audit reports.
- Compliance manuals and records.

Our team will reach out to schedule a meeting to discuss any findings or concerns. We appreciate your cooperation and look forward to a thorough review.

Thank you for your attention to this important matter.

Sincerely,

[Your Name]

[Your Title]

[Your Company]

[Your Contact Information]